

31064 U.S. PTO
01/25/02

01-28-02

A

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

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31064 U.S. PTO
10/05/01

01/25/02

Date: January 25, 2002

Attorney Docket No.: 450100-4630.1

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Tetsu KIMURA, Akio ISHIKAWA

entitled:

RECORDING AND/OR REPRODUCTION APPARATUS, FILE MANAGEMENT METHOD AND PROVIDING MEDIUM

☒ Continuing Application

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
of prior application serial no. 09/174,797, filed October 19, 1998

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

☒ The prior application is assigned of record to SONY CORPORATION.

The following are enclosed:

☒ Specification (98 pages)

☒ 24 Sheet(s) of Drawings

☒ 20 Claim(s) (including 8 independent claim(s))

☐ This application contains a multiple dependent claim

☒ Our check for \$ 1160.00, calculated on the basis of the claims existing in the prior application (less any claims canceled herein) as amended by any enclosed preliminary amendment as follows:

| | |
|--|------------|
| Basic Fee, \$740.00 (\$370.00) | \$ 740.00 |
| Number of Claims in excess of 20 at \$18.00 (\$9.00) each: | -0- |
| Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each: | 5 420.00 |
| Multiple Dependent Claim Fee at \$260.00 (\$130.00) | -0- |
| Total Filing Fee | \$ 1160.00 |

☐ Assignment Recording Fee \$40.00 -0-

☐ This application is being filed within the month following the expiration of the term originally set therefor in the prior application. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed. A copy of this paper is being submitted in parent application Serial No. as the Petition for Extension of time.

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450100-4630.1

- ☒ Oath or Declaration and Power of Attorney
 ___ New ___ signed ___ unsigned
☒ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

___ Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d) (2) and 1.33(b))

Power of Attorney or Correspondence Address Change

___ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to

- ☒ Incorporation by Reference (for continuation or divisional application)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- ☒ A Preliminary Amendment is enclosed.
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

___ Cancel in this application original claims ___ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

- ☒ New formal drawings are enclosed.

- ☒ Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application serial no. 09/174,797, filed October 19, 1998. A list of said foreign priority application(s) is provided below. Acknowledgement thereof is requested.

| <u>Application No.</u> | <u>Filed</u> | <u>In</u> |
|------------------------|-------------------|-----------|
| 10-046855 | February 27, 1998 | Japan |
| 9-288178 | October 21, 1997 | Japan |

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: _____

Dennis M. Smid
Reg. No. 34,930

"Express Mail" mailing label number EL742696467US

Date of Deposit January 25, 2002

I hereby certify that this paper or fee, and a continuation patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Charles Jackson

(Typed or printed name of person mailing paper or fee)

Charles Jackson

(Signature of person mailing paper or fee)